

ROUTING AND TRANSMITTAL SLIP		Date
		3 MAY 1985
TO: (Name, office symbol, room number, building, Agency/Post)		Initials Date
1. CO/DOA		3 MAY 1985 <i>[Signature]</i>
2. <del>ADDA</del>		<del>X</del>
3. <del>DOA</del>		<del>X</del>
4.		
5. DOA/Reg (file)		
Action	File	Note and Return
Approval	For Clearance	Per Conversation
As Requested	For Correction	Prepare Reply
Circulate	For Your Information	See Me
Comment	Investigate	Signature
Coordination	Justify	

REMARKS

cc: D/OS (info) *[Signature]*

DO NOT use this form as a RECORD of approvals, concurrences, disposals, clearances, and similar actions

FROM: (Name, org. symbol, Agency/Post)	Room No.—Bldg.
	Phone No.

5041-102

\* U.S.G.P.O.: 1983 - 421-529/320

OPTIONAL FORM 41 (Rev. 7-76)  
Prescribed by GSA  
FPMR (41 CFR) 101-11.306

**EXECUTIVE SECRETARIAT  
ROUTING SLIP**

TO:		ACTION	INFO	DATE	INITIAL
1	DCI		X		
2	DDCI		X		
3	EXDIR		X		
4	D/ICS		X		
5	DDI	X			
6	DDA		X		
7	DDO		X		
8	DDS&T		X		
9	Chm/NIC				
10	GC				
11	IG				
12	Compt				
13	D/Pers				
14	D/OLL				
15	D/PAO				
16	SA/IA				
17	AO/DCI				
18	C/IPD/OIS				
19	NIOS/T		X		
20	C/TTIC		X		
21					
22					

SUSPENSE 29 May 85  
Date

Remarks To # 5: Please have comments/approval memo prepared for my signature.

Executive Secretary  
1 May 85  
Date

NATIONAL SECURITY COUNCIL

WASHINGTON, D.C. 20506

April 30, 1985

SYSTEM II  
90896

MEMORANDUM FOR MR. NICHOLAS PLATT  
Executive Secretary  
Department of State

Executive Registry	
85-	1765

MR. EDWARD J. STUCKY  
Acting Executive Secretary  
Department of the Treasury

COLONEL R. J. AFFOURTIT  
Executive Secretary  
Department of Defense

85-1593

MR. STEPHEN GALEBACH  
Senior Special Assistant to the Attorney General  
Department of Justice

MS. HELEN ROBBINS  
Executive Assistant to the Secretary  
Department of Commerce

MR. WILLIAM VITALE  
Executive Secretary  
Department of Energy

10 - 1

STAT

[Redacted]  
Executive Secretary  
Central Intelligence Agency

MR. GEORGE A. KEYWORTH, II  
Science Advisor to the President and  
Director, Office of Science and Technology Policy

MR. STEVEN GARFINKEL  
Director, Information Security Oversight Office

DR. FRANCIS JOHNSON  
Assistant Director for Astronomical, Atmospheric,  
Earth and Ocean Sciences  
National Science Foundation

SUBJECT: Draft NSDD

As part of the NSSD 1-83 on the Transfer of Strategic Technology, the Office of Science and Technology Policy has prepared a draft NSDD on controlling the flow of information produced by basic research at colleges, universities, and laboratories under contract to the U.S. government. Please provide agency comments on the draft NSDD by Friday, May 31, 1985.

*Robert M. Kimmitt*  
Robert M. Kimmitt  
Executive Secretary

COPY 8 OF 11 COPIES  
CIA

Attachment - Draft NSDD: National Policy on the Transfer of  
Scientific and Technical Information

NATIONAL POLICY ON THE TRANSFER  
OF SCIENTIFIC AND TECHNICAL INFORMATION

I. PURPOSE

This directive establishes national policy for controlling the flow of science and technology information produced in fundamental research at colleges, universities, and laboratories under contract to U.S. government agencies.

II. BACKGROUND

The acquisition of advanced technology from the United States by Eastern Bloc nations for the purpose of enhancing their military capabilities poses a significant threat to our national security. Intelligence studies indicate a small but significant target of the Eastern Bloc intelligence gathering effort is science and engineering research performed at universities and federal laboratories. At the same time, our leadership position in science and technology is an essential element in our economic and physical security. The strength of American science requires a research environment conducive to creativity, an environment in which the free exchange of ideas is a vital component.

In 1982, the Department of Defense and National Science Foundation sponsored a National Academy of Sciences study of the need for controls on scientific information. This

CONFIDENTIAL

study was chaired by Dr. Dale Corson, President Emeritus of Cornell University. It concluded that, while there has been a significant transfer of U.S. technology to the Soviet Union, the transfer has occurred through many routes with universities and open scientific communication of fundamental research being a minor contributor. Yet as the emerging government-university-industry partnership in research activities continues to grow, a more significant problem may well develop.

### III. POLICY STATEMENT

It is the policy of this administration that the mechanism for control of fundamental research in science and engineering at colleges, universities and laboratories under contract to U.S. Government Agencies is classification. Consistency of this policy with applicable U.S. Statutes must be maintained. Each federal government agency is responsible for: a) determining whether classification is appropriate prior to the award of a research grant or contract and, if so, controlling the research results through standard classification procedures; b) periodically reviewing all research grants or contracts for potential classification. No restrictions may be placed upon the conduct or reporting of fundamental research that has not received national security classification.

COPY 8 11 COPIES